

# Marches Academy Trust

## Complaints procedure



Marches Academy Trust

DOCUMENT CONTROL	
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# 1 Aims and application

- 1.1 The Marches Academy Trust (the Trust) has clear vision and values, which build upon collaborations and aim to ensure that every school within the Trust works in a productive and positive partnership with all parents and carers to realise 'Achievement through caring'. In respect of complaints relating to the school, or Trust, all complainants should use this complaints procedure, and refrain from airing any grievance or concerns on social media sites, as this could be potentially damaging to the school, Trust and our children & young people (CYP).
- 1.2 The aims of the procedure are to deal with complaints and concerns:
- about a school, the Trust ("Trust") or any individual connected with it by following the correct procedure;
  - thoroughly; and
  - in an open, honest and fair manner.
- 1.3 This complaints procedure is not limited to parents or carers of children who are registered at one of the schools within the Trust. Any person, including members of the public, may make a complaint to an individual school within the Trust, or the Marches Academy Trust itself, about any provision of facilities or services that we provide. Part 1 of this policy outlines how parents/carers of registered CYP currently attending schools within the Trust can raise a concern or complaint. Concerns or complaints from other persons will be dealt with in accordance with Part 2 of this policy.
- 1.4 This procedure does not apply to concerns and complaints relating to the matters listed in Appendix 1.
- 1.5 Anonymous concerns or complaints will not normally be investigated under this procedure. The Headteacher or Chair of Governors, if appropriate, will determine whether there are exceptional circumstances to justify conducting an investigation into the issues raised.
- 1.6 All staff will be made aware of this complaints procedure and are expected to review this policy regularly in order that they are familiar with our process of dealing with complaints and can be of assistance when an issue is brought to their attention.
- 1.7 In this procedure:
- 'complaint' means an expression of dissatisfaction however made, about actions taken or a lack of action.
  - 'concern' means an expression of worry or doubt over an issue considered to be important for which reassurances are sought.
  - 'meeting' means an in person or virtual meeting (i.e., telephone or video conference where all parties can participate verbally), virtual meetings will only be held in the event that all parties have access to appropriate equipment to attend and are happy to do so.
  - 'parent' means a parent, carer or anyone with legal responsibility for a child;
  - 'school days' excludes weekends and academy holidays and periods of partial or total academy closure;
  - 'trust' means Marches Academy Trust.
- 1.8 The timeframes referred to in this policy are our usual timeframes and the school/Trust will seek to adhere to these timeframes where possible.
- 1.9 Reasonable adjustments will be made to this procedure where required to ensure that all complainants can access and complete this complaints procedure. In accordance with equality law, the Trust will consider making reasonable adjustments if required, to enable complainants to access and complete this complaints procedure. For instance, providing

information in alternative formats, assisting complainants in raising a formal complaint or holding meetings in accessible locations.

## **2 Key principles**

- 2.1 The Trust expects all complainants to make reasonable attempts to seek an informal resolution.
- 2.2 To investigate your complaint properly and fairly, we have implemented a staged approach. We anticipate that almost all complaints that arise will be resolved at Stage 1 or Stage 2 as outlined below.
- 2.3 We expect our members of staff to be addressed in a respectful manner and for communication to remain calm at all times. The procedure under Part 3 will only be used on very rare occasions to deal with unreasonably persistent complainants or unreasonable complainant behaviour.
- 2.4 Concerns or complaints should be brought to our attention as soon as possible. Any matter raised more than three months after the incident being complained of (or, where a series of associated incidents have occurred, within three months of the last of these incidents) will not be considered unless the Headteacher (or Chair of the Local Governing Body if the complaint is about the Headteacher) accepts that there are good reasons to explain the delay or the complaint is about a particularly serious matter.
- 2.5 Where a complaint is received outside of term time, we will consider it to have been received on the first school day following the holiday period.
- 2.6 On rare occasions a school may receive complaints from a number of parents relating to the same issue. In order to deal with these complaints efficiently the school will follow the procedure set out in Part 4.
- 2.7 If it becomes necessary to alter the time limits and deadlines set out within this procedure, you will be advised accordingly and given an explanation as to why this has been the case and provided with revised timescales. If other bodies are investigating aspects of the complaint, for example the police, local authority safeguarding teams or tribunals/courts, this may impact on our ability to adhere to the timescales within this procedure or result in the procedure being suspended until those public bodies have completed their investigations.
- 2.8 Complainants should not approach individual Governors or Trustees to raise concerns or complaints. They have no power to act on an individual basis and it may prevent them from considering complaints at later stages.
- 2.9 If a complainant commences legal action against The Marches Academy Trust in relation to their complaint, we will consider whether to suspend the complaints procedure until those legal proceedings have concluded.
- 2.10 If a complainant wishes to withdraw their complaint, we will ask them to confirm this in writing.

### **3 Records of complaints**

A record will be kept of all written formal complaints, including at what stage they were resolved, and action taken by us as a result of those complaints regardless of whether they were upheld. Correspondence, statements and records relating to individual complaints will be kept confidential except where:

- access is requested by the Secretary of State;
- disclosure is required in the course of a school inspection;
- an individual has a legal right to access their own personal data contained within such documentation; or
- under other legal authority e.g. police

We will make the findings and recommendations of the panel available for inspection on the school premises by the Trust and the Headteacher.

## 4 Part 1: Complaints procedure for parents

### Stage 1: Informal concerns

- 4.1 An informal concern can be raised in person or by telephone. They may also be made by a third party acting on behalf of a complainant, as long as they have appropriate authority to do so. Most enquiries and concerns can be dealt with satisfactorily by the class teacher or other members of staff without the need to resort to the formal procedure. We value informal meetings and discussions and encourage parents to approach staff with any concerns they may have, and aim to resolve all issues with open dialogue and mutual understanding.
- 4.2 It is always helpful if you can fully explain the nature of the concern and identify the outcome you are looking for. Where appropriate, you may be invited to an informal meeting with the member of staff most appropriate for dealing with that concern. The member of staff dealing with the concern will make sure that you are clear on what action (if any) has been agreed. This may be put in writing if appropriate.
- 4.3 It is essential that the school/Trust respects the views of a complainant who indicates that they would have difficulty discussing a complaint with a particular member of staff. In this case, the Headteacher will refer the complainant to another designated member of staff.
- 4.4 Similarly, if the member of staff directly involved feels too compromised to deal with a complaint, the Headteacher may consider referring the complainant to another member of staff. The member of staff may be more senior, but this is not essential.
- 4.5 If the matter is brought to the attention of the Headteacher they may decide to deal with your concerns directly at this stage. If the concerns are about the Headteacher these should be referred directly to the Chair of the Local Governing Body (LGB) under Stage 2.
- 4.6 Staff members should log all informal complaints on the school Management Information System (e.g. Arbor) and inform the Headteacher of any serious concerns.
- 4.7 There is no suggested timescale for resolution at this stage given the importance of dialogue through informal discussion, although it would be expected that most issues will be resolved within 10 school days. Where no satisfactory solution has been found, you will be advised that if you wish your concerns to be considered further you should write to the Headteacher under Stage 2 of this procedure within 10 school days.

### Stage 2: Formal written complaints

- 4.8 If your concerns are not resolved under Stage 1, you should put your complaint in writing and send this to the Headteacher of the relevant school/Line Manager of central Trust staff.
- 4.9 It is very important that you include a clear statement of the actions that you would like us to take to resolve your complaint. The Complaint Form provided at Annex 2 of this procedure must be completed. If you require help in completing the form, please contact the school office. You can also ask third party organisations like the Citizens Advice to help you. In all cases your written complaint must include:
  - the nature of the complaint explaining the complaint in full;
  - details of how the matter has been dealt with so far;
  - the names of potential witnesses, dates and times of events and copies of all relevant documents; and
  - a clear statement of the actions that you would like us to take to resolve your complaint.
- 4.10 Your complaint will normally be acknowledged in writing by the Headteacher/Line Manager within 5 school days of receipt. The acknowledgement will give a brief explanation of the school's complaints procedure and a target date for providing a response to the complaint.

This will normally be within 25 school days of receipt (with a maximum extension of 65 school days).

- 4.11 If appropriate, the Headteacher/Line Manager (or someone appointed by them) may invite you to a meeting to clarify your complaint and to explore possible resolutions. If you accept that invitation, you may be accompanied by one other person, such as a friend, relative, advocate or interpreter, to assist you. Where possible, this meeting will take place within 15 school days of receipt of the written complaint.
- 4.12 If necessary, an investigation will be conducted. Witnesses will be interviewed, and statements taken from those involved. If the complaint centres on a child or young person (CYP), the CYP should also be interviewed. CYPs should normally be interviewed with their parent present, but if this would seriously delay the investigation of a serious or urgent complaint or if the CYP has specifically said that s/he would prefer that their parents were not involved, another member of staff with whom the CYP feels comfortable should be present. If the matter includes a complaint relating to a member of staff, the member of staff must have the opportunity to respond to the complaint. Please note that witness statements can be disclosed to other relevant parties as part of the investigation.
- 4.13 Once the relevant facts have been established as far as possible, the Headteacher/Line Manager will provide a written response to the complaint, including an explanation of the decision and the reasons for it. This will include what action will be taken to resolve the complaint (if any). You will be advised that if you are dissatisfied with the outcome of the complaint, you may request that your complaint be heard by the Complaints panel under Stage 3 of this procedure.
- 4.14 The Trust may engage an independent, external person to carry out the investigation into the Stage 2 complaint or to review the investigation and response at Stage 2. This may be appropriate where the complaint is particularly complex and involves legal issues.

Q. What if the complaint is about the Headteacher or the Headteacher has already considered your complaint under Stage 1?

In these cases, your complaint should be sent to the Chief Executive Officer (CEO) of The Marches Academy Trust via The Marches School, Morda Road, Oswestry, Shropshire, SY11 2AR who will arrange for a Governor to conduct the Stage 2 procedure.

Q. What if the complaint is about a member of the Local Governing Body (LGB)?

Complaints about the Chair of Governors, any individual governor or the whole governing body should be addressed to the Trust's Governance Officer via The Marches School, Morda Road, Oswestry SY11 2AR. Please mark them as Private and Confidential. The Trust's Governance Officer will arrange for another Governor or Trustee to investigate the concerns in accordance with Stage 2.

If the complaint is about the Clerk of the LGB or the LGB as a whole, you should send your complaint to the Trust's Governance Officer via The Marches School, Morda Road, Oswestry SY11 2AR who will then determine the most appropriate action with regards stage 2 and stage 3.

Q. What if the complaint is about the Chief Executive Officer?

If the complaint is about the Chief Executive Officer of the Trust your complaint should be sent to the Trust's Governance Officer via The Marches School, Morda Road, Oswestry SY11 2AR who will arrange for a Trustee to conduct all the Stage 2 procedures.

Q. What if the complaint is about a Trustee?

You should contact the Trust's Governance Officer via The Marches School, Morda Road, Oswestry SY11 2AR who will arrange for another Trustee to investigate the concerns in accordance with Stage

2.

If the complaint is about the Trust's Governance Officer, your complaint should be sent to the Director of Finance and Business via The Marches School, Morda Road, Oswestry SY11 2AR.

If your complaint is about the Board of Trustees as a whole, you should send your complaint to the Trust's Governance Officer who will arrange for the matter to be independently investigated.

All complaints should be marked as Private and Confidential.

4.15 Please be aware that where your complaint relates to an employee a copy of the complaint may be shared with them in order to investigate the issues raised.

### **Stage 3: Referral to the complaints panel**

4.16 If you are dissatisfied with the decision under Stage 2, you may request that a complaints panel be convened to consider your complaint. The complaints panel will not review any new complaints at this stage or consider evidence unrelated to the initial complaint. New complaints must be dealt with from Stage 1 of the procedure.

4.17 To request a hearing before the complaints panel, you should write to the Trust's Governance Officer at Marches Academy Trust c/o The Marches School, Morda Road, Oswestry, Shropshire, SY11 2AR within 10 school days of receiving notice of the outcome of Stage 2. Requests received outside of this time frame will only be considered if exceptional circumstances apply. You should ensure that you provide copies of all relevant documents and state all the grounds for your complaint and the outcome that you are looking for. Your written request will be acknowledged within 5 school days of receipt.

4.18 The Trust's Governance Officer will arrange for a complaints panel to be convened, made up of at least three members, including:

- members of a LGB and/or Trustees of the Trust (as appropriate) with no prior involvement in the matter; and,
- one person who is independent of the management and running of the school/Trust. This could be a local Governor from another school within the Trust.

4.19 The Trust's Governance Officer shall appoint one of these members to be the Chair of the complaints panel. It is important that the panel is independent and impartial, and that it is seen to be so. In deciding the make-up of the panel, the Trust's Governance Officer will need to try and ensure that they are sensitive to the issues of: age, disability, gender reassignment, marriage and civil partnership, pregnancy and maternity, race, religious beliefs, gender, and sexual orientation.

4.20 The panel will focus on the school's/Trust's actions and investigation at previous stages of the complaint, whether school/Trust policy and any relevant legislation and/or statutory guidance has been followed and can make findings and recommendations (where appropriate). The aim of the panel meeting is for the panel to consider the complaint with the aim of resolving the complaint and achieving reconciliation between the school/ Trust and the complainant. The panel will not consider any new complaints at this stage or consider evidence unrelated to the initial complaint.

4.21 Every effort will be made to enable the hearing to take place within 25 school days of the receipt of your request (with a maximum extension to 65 school days). As soon as reasonably practicable and in any event at least 5 school days before the hearing, you will be sent written notification of the date, time and place of the hearing, together with brief details of the panel members who will be present. Fair consideration will be given to any bona fide

objection to a particular member of the panel. You will also be informed of the name of the person who will be presenting the case on behalf of the school/Trust (referred to in this policy as the 'school representative'). This may be the person who is the subject of the complaint, the person who undertook the investigation at Stage 2 or another person with sufficient knowledge of the matter.

- 4.22 If, despite best efforts, it is not possible to find a mutually convenient date and time for a hearing within a reasonable timeframe, the Trust's Governance Officer may determine that the hearing proceeds on the basis of written submissions from both parties.
- 4.23 You have the right to be accompanied to the hearing by a friend, relative, advocate or interpreter. You should notify the Trust's Governance Officer in advance if you intend to bring anyone to the hearing. Please note that we do not encourage either party to bring legal representatives to the panel meeting. Representatives from the media are not permitted to attend.
- 4.24 A copy of the complaint and any other documents provided by you in support of your complaint, or by the school representative in defence of the complaint, will be provided to the complaints panel as soon as practicable upon receipt. Decisions made with rationales at previous stages, correspondence between the complainant and the school. Copies of these documents shall also be provided to you and the school representative (as applicable) at least five school days before the hearing.
- 4.25 The complaints panel reserves the right not to consider any documentation presented by either party less than three school days prior to the hearing. The complaints panel is under no obligation to hear oral evidence from witnesses but may do so and/or may take written statements into account. The panel will not normally accept recordings of conversations that were obtained covertly and without the informed consent of all parties being recorded.
- 4.26 The hearing will be conducted to ensure that each party has the opportunity to address the complaints panel. The procedure to be followed during the hearing will be explained to the parties by letter in advance of the hearing. The Trust's Governance Officer will ensure that sufficient notes are taken to record an accurate reflection of the points considered and any decisions taken, or actions agreed. Electronic recordings of the hearing will not normally be permitted and, in any event, would require the consent of all those present.
- 4.27 Unless otherwise stated, the procedure for the Stage 3 hearing is as follows:
- the parent and school representative will enter the hearing together;
  - the Chair of the panel will introduce the panel members and outline the process;
  - the parent will explain the complaint;
  - the school representative and panel members will question the parent;
  - the school representative will explain the school/Trust's actions;
  - the parent and the panel members will question the school representative;
  - the parent will sum up their complaint;
  - the school representative will sum up the school/Trust's actions;
  - the Chair of the panel will explain that both parties will hear from the panel within 10 school days;
  - both parties will leave together while the panel decides;
  - the Trust's Governance Officer will stay to assist the panel with its decision making.
- 4.28 The Trust's Governance Officer and or complaints panel reserves the right to modify the above procedure at their sole discretion, for example requiring the parent and the school representative to present their complaint/actions separately to the Complaints panel in the absence of the other party.
- 4.29 A panel hearing may be adjourned if the panel require further evidence or in exceptional circumstances e.g. if clarification sought by the panel is essential to the proceedings. The adjourned date must be as soon as possible.



- 4.30 After the hearing, the complaints panel will consider their decision and inform the complainant and, where relevant, the person complained about of their decision in writing within 10 school days. The letter will set out the decision of the panel together with the reasons underpinning that decision. The panel can (by a majority if necessary):
- dismiss the complaint in whole or in part;
  - uphold the complaint in whole or in part;
  - decide on the appropriate action to be taken to resolve the complaint;
  - make findings and recommend changes to the school or Trust systems or procedures to ensure that problems of a similar nature do not happen again.

## **Stage 4: Referral of complaint to Education and Skills Funding Agency (ESFA)**

- 4.31 If you are dissatisfied with the decision of the complaints panel, you are entitled to refer your complaint to the Education and Skills Funding Agency (ESFA). The ESFA will only investigate whether the complaint was handled properly and in accordance with education legislation and any statutory policies connected with the complaint.
- 4.32 At the time of writing this procedure, the ESFA procedure and the ESFA academy complaints form are available at:

<https://www.gov.uk/complain-about-school/state-schools>

Or you can write to the ESFA at the following address:

**Academy Complaints and Customer Insight Unit  
Education and Skills Funding Agency  
Cheylesmore House  
5 Quinton Road  
Coventry  
CV1 2WT**

## **5 Roles and Responsibilities**

### **5.1 The role of the Clerk and Trust's Governance Officer**

The Clerk/ Trust's Governance Officer is the contact point for the complainant and the complaints panel, and should:

- ensure that the complainant is fully updated at each stage of the procedure;
- liaise with staff members, headteacher, CEO, Chair of Governors and Chair of Trust to ensure the smooth running of the complaints procedure;
- be mindful of the timescales to respond to complaints;
- ensure that all people involved in the complaint procedure are aware of their legal rights and duties, including any under legislation relating to school complaints, education law, the Equality Act 2010, the Freedom of Information Act 2000, the Data Protection Act (DPA) 2018 and the General Data Protection Regulations (GDPR);
- ensure that the panel has access to legal advice, where appropriate;
- set the date, time and venue of the meeting, ensuring that the dates are convenient to all parties (if they are invited to attend) and that the venue and proceedings are accessible;
- collate any written material relevant to the complaint (for example: stage 1 paperwork, school and complainant submissions) and send it to the parties in advance of the meeting within an agreed timescale;
- minute the meeting;
- notify all parties of the panel's decision;
- assist the school/Trust in issuing a summary letter to the complainant.

### **5.2 The role of the Headteacher/Line Manager of Trust Staff at Stage Two (or Chief Executive Officer and/or Local Governor etc. if the complaint is about the Headteacher)**

- to ensure that the complainant is fully updated at each stage of the procedure;
- to ensure that the correct procedure has been followed;
- to ensure that an investigation is carried out, and a report compiled;
- to meet the complainant, if appropriate;
- if the complaint is being referred to Stage Three, notify the Trust's Governance Officer to arrange the complaints panel.

### **5.3 The role of the Chair of the complaints panel**

The Chair of the panel has a key role, ensuring that:

- the meeting is conducted in an informal manner, is not adversarial, and that, if all parties are invited to attend, everyone is treated with respect and courtesy;
- complainants who may not be used to speaking at such a meeting are put at ease. This is particularly important if the complainant is a child;
- the remit of the panel is explained to the complainant;
- the written material is seen by everyone in attendance (provided it does not breach confidentiality or any individual's rights to privacy under the DPA 2018 or GDPR);
- key findings of fact are made, and that any issues not previously mentioned in writing should not be raised at the meeting and, if they are mentioned at the meeting, these should not be noted or considered by the panel;
- both the complainant and the school/Trust are given the opportunity to make their case, and seek clarity, either through written submissions ahead of the meeting, or verbally in the meeting itself;
- the panel is open-minded, acts independently and no panel member has an external interest in the outcome or any involvement in an earlier stage of the procedure;
- the meeting is minuted.

## 6 Part 2: Concerns or complaints from other persons

Part 1 of this complaints policy applies only to complaints made by parents or carers of current registered CYP of schools within the Trust. However, the Trust wishes to work closely with other members of the local community and will deal with their concerns and complaints as follows:

- 1 **Stage 1** - a concern regarding a school or its operations may be made to any member of staff. That member of staff will attempt to resolve the matter immediately or may, if appropriate, refer the matter to their line manager or member of the senior leadership team (SLT) who is best placed to deal with the concern. It is expected that most concerns will be responded to orally or in writing within 10 school days. If a longer period is required, you will be kept informed of the progress of the investigation.
- 2 **Stage 2** - where a concern is not resolved at stage 1, or you wish your concerns to be dealt with immediately as a formal complaint, you should put your complaint in writing using the complaints form in appendix 2 and send this to the Headteacher of the relevant school to investigate. The Headteacher may delegate the task of investigation and/or responding to the complaint to a member of the Senior Leadership Team or may escalate the complaint straight to stage 3. A formal response to the complaint will usually be provided within 25 school days of receipt of the letter of complaint although if a longer period is required to respond, you will be kept updated.
- 3 **Stage 3** - if you are not satisfied with the response at stage 2, you may request a review by writing to the Clerk of the school's LGB. You should write to the Clerk within 10 school days of receipt of the letter at stage 2. Requests received outside of this time frame will only be considered if **exceptional** circumstances apply. The Clerk will liaise with the Trust's Governance Officer and arrange for a Governor to consider the complaint alone or may convene a complaints panel on the same terms as set out in Part 1 of this complaints policy. The decision at this stage will usually be sent to you within 25 school days of receipt of the request for a review. The decision at stage 3 exhausts the Trust's complaints procedure.

Concerns or complaints regarding the Headteacher or the Trust as a whole should be referred direct to the CEO, The Marches Academy Trust c/o The Marches School, Morda Road, Oswestry, Shropshire, SY11 2AR who will arrange for the stages above to be considered by an appropriate person.

## 7 Unreasonably persistent complainants and unreasonable complainant behaviour

There are rare circumstances where we will deviate from the Complaints Procedure set out in Parts 1 and 2. These include, but are not necessarily limited to:

- where the complainant's behaviour or language towards staff, members of the local governing body or trustees is abusive, offensive, discriminatory or threatening;
- where the complainant's behaviour is hindering our consideration of complaints and/or the proper running of the academy because of the frequency or nature of the complainant's contact, such as, if the complainant:
  - refuses to articulate their complaint or specify the grounds of a complaint or the outcomes sought by raising the complaint, despite offers of assistance
  - refuses to co-operate with the complaints investigation process
  - refuses to accept that certain issues are not within the scope of the complaints procedure
  - insists on the complaint being dealt with in ways which are incompatible with the complaints procedure or with good practice
  - introduces trivial or irrelevant information which they expect to be taken into account and commented on
  - raises large numbers of detailed but unimportant questions, and insists they are fully answered, often immediately and to their own timescales
  - makes unjustified complaints about staff who are trying to deal with the issues, and seeks to have them replaced
  - changes the basis of the complaint as the investigation proceeds
  - seeks an unrealistic outcome, such as the inappropriate dismissal of staff
  - makes excessive demands on school time by frequent, lengthy and complicated contact with staff regarding the complaint in person, in writing, by email and by telephone while the complaint is being dealt with
  - knowingly provides falsified information
  - publishes unacceptable information on social media or other public forums
- where the complainant's complaint is unreasonably persistent, clearly frivolous, vexatious and/or has patently insufficient grounds. The Office of the Independent Adjudicator defines the characteristics of a 'frivolous' or 'vexatious' complaint as:
  - complaints which are obsessive, persistent, harassing, prolific, repetitious
  - insistence upon pursuing unmeritorious complaints and/or unrealistic outcomes beyond all reason
  - insistence upon pursuing meritorious complaints in an unreasonable manner
  - complaints which are designed to cause disruption or annoyance
  - demands for redress that lack any serious purpose or value
- where the complainant's complaint is the same, similar to or based on the same facts of a complaint which has already been considered in full.

In these circumstances, we may:

- inform the complainant that their behaviour is unacceptable or unreasonably persistent and ask them to change it;
- restrict the complainant's access to the school e.g. requesting contact in a particular form (for example, letters only), requiring contact to take place with a named person only, restricting telephone calls to specified days and times or banning the complainant from the academy's premises. Any such arrangements will be reviewed after six months;

- conduct the complaints panel on the papers only i.e. not hold a hearing;
- refuse to consider the complaint and, where Part 1 of this procedure applies, refer the complainant directly to Stage 4.

In all cases we will write to tell the complainant why we believe his or her behaviour is unacceptable or unreasonably persistent, what action we are taking and the duration of that action.

We may take the decision not to respond to any further correspondence where:

- we have taken every reasonable step to address the complainant's concerns;
- the complainant has been given a clear statement of our position and their options; and
- the complainant contacts us repeatedly, making substantially the same points each time.

The case for ceasing further correspondence is stronger where:

- letters, emails, or telephone calls are often or always abusive or aggressive or make insulting personal comments about or threats towards staff;
- we have reason to believe the complainant is contacting us with the intention of causing disruption or inconvenience.

Where the behaviour is so extreme that it threatens the immediate safety and welfare of staff, members of the LGB or Trustees, we will consider other options, for example reporting the matter to the police or taking legal action. In such cases, we may not give the complainant prior warning of that action.

## **8 Complaint campaigns**

For the purposes of this policy, a complaint campaign is defined as a complaint from three or more separate individuals (whether or not connected with a school or the Trust) which are all based on the same subject.

Depending on the subject in question, we may deviate from the procedure set out in this policy and instead:

- send a template response to all complainants and/or;
- publish a single response on the school/Trust's website (as applicable).

## 9 Appendix 1 - Matters excluded from scope of this policy

Excluded Matters	Signposting
Admissions	The process for challenging admissions decisions is set out in our admissions policy in accordance with relevant statutory guidance.
Child protection matters	Complaints about child protection matters are handled under our child protection and safeguarding policy and in accordance with relevant statutory guidance. If you have serious concerns, you may wish to contact the local authority designated officer (LADO) who has local responsibility for safeguarding or the Multi-Agency Safeguarding Hub (MASH).
Exclusions	The process for challenging exclusions decisions is set out in the DfE's statutory guidance and information can be found at <a href="https://www.gov.uk/school-discipline-exclusions/exclusions">https://www.gov.uk/school-discipline-exclusions/exclusions</a>
National Curriculum content	Please contact the Department for Education at <a href="http://www.education.gov.uk/contactus">www.education.gov.uk/contactus</a>
School re-organisation proposals	Where concerns are not adequately addressed by the Trust, complaints can be raised direct with the Department for Education.
Complaints about services provided by other providers who may use school premises or facilities	Providers should have their own complaints procedure to deal with complaints about service. Please contact them direct.
Staff grievances	Complaints from staff will be dealt with under the school's internal grievance procedures.
Staff conduct	Certain complaints about staff may need to be dealt with under the school's internal disciplinary procedures, if appropriate. Complainants will not be informed of any disciplinary action taken against a staff member as a result of a complaint. However, the complainant will be notified that the matter is being addressed.
Statutory assessments of Special Educational Needs (SEN)	Concerns about statutory assessments of special educational needs should be raised directly with the Local Authority.
Whistleblowing	We have an internal whistleblowing procedure for all our employees, including temporary staff and contractors. The Secretary of State for Education is the prescribed person for whistleblowers in education who do not want to raise matters direct with their employer. Referrals can be made at: <a href="http://www.education.gov.uk/contactus">www.education.gov.uk/contactus</a> . Volunteer staff who have concerns should complain through the school's complaints procedure. You may also be able to complain direct to the Department for Education (see link above), depending on the substance of the complaint

## 10 Appendix 2 - Complaints Form

Your name:
Children or Young Person's (CYP) name:
Your relationship to CYP:
Your address and postcode:
Your daytime telephone number:
Your evening telephone number:
Your email address:
Your complaint is: (if you have more than one complaint, please number these)
What action have you already taken to try and resolve your complaint(s) in accordance with Stage 1 of this procedure?  (Who did you speak to and what was the response?)



What would you like as an outcome from your complaint(s)?

Are you attaching any paperwork? If so, give details here:

Your signature..... Date .....

All functions of the complaints procedure must adhere to the requirements of the Data Protection Act 2018 and the Freedom of Information Act 2000.

Please complete and return to the academy office in a sealed envelope addressed to the Headteacher, Clerk of the Local Governing Body or Trust's Governance Officer (as appropriate).

*Office use*

Date received .....

Date acknowledgement sent .....

Responsible member of staff .....

Action taken .....

## 11 Appendix 3 - Summary of Complaints Procedure for Parents & Carers (Part 1)

